

1 E. MARTIN ESTRADA  
United States Attorney  
2 MACK E. JENKINS  
Assistant United States Attorney  
3 Chief, Criminal Division  
MARK A. WILLIAMS (Cal. Bar No. 239351)  
4 Chief, Environmental Crimes and Consumer Protection Section  
MATTHEW W. O'BRIEN (Cal. Bar No. 261568)  
5 Assistant United States Attorney  
Environmental Crimes and Consumer Protection Section  
6 BRIAN R. FAERSTEIN (Cal. Bar No. 274850)  
Assistant United States Attorney  
7 Public Corruption and Civil Rights Section  
JUAN M. RODRIGUEZ (Cal. Bar No. 313284)  
8 Assistant United States Attorney  
Environmental Crimes and Consumer Protection Section  
9 1300 United States Courthouse  
312 North Spring Street  
10 Los Angeles, California 90012  
Telephone: (213) 894-8644  
11 E-mail: Matthew.O'Brien@usdoj.gov

12 Attorneys for Plaintiff  
UNITED STATES OF AMERICA  
13

14 UNITED STATES DISTRICT COURT

15 FOR THE CENTRAL DISTRICT OF CALIFORNIA

16 UNITED STATES OF AMERICA,

17 Plaintiff,

18 v.

19 JERRY NEHL BOYLAN,

20 Defendant.  
21

No. CR 22-482-GW

GOVERNMENT'S OPPOSITION TO  
DEFENDANT'S EX PARTE APPLICATION  
FOR GAG ORDER (DKT. NO. 81)

22 Plaintiff United States of America, by and through its counsel  
23 of record, the United States Attorney for the Central District of  
24 California and Assistant United States Attorneys Mark Williams,  
25 Matthew O'Brien, Brian Faerstein, and Juan Rodriguez, hereby files  
26 its opposition to defendant JERRY NEHL BOYLAN's ex parte application  
27 for a gag order and other relief (Dkt. No. 81).  
28



**TABLE OF CONTENTS**

I.	INTRODUCTION.....	1
II.	FACTUAL BACKGROUND.....	2
A.	The LA Times Article.....	2
B.	The Government's Efforts to Protect the Criminal Discovery from Public Dissemination.....	3
C.	The Defense's Dissemination of the Criminal Discovery.....	6
III.	LEGAL FRAMEWORK.....	8
IV.	THE PROPOSED GAG ORDER SHOULD BE DENIED.....	8
A.	The Defense Has Failed To Establish Any "Clear and Present Danger" or "Serious and Imminent Threat".....	9
B.	Defendant's Proposed Gag Order Is Overly Broad.....	11
C.	Less Restrictive Means Exist to Safeguard a Fair Trial...	14
D.	The Defense's Request Puts the Prosecution Team in an Untenable Position.....	15
V.	THE PROPOSED SEALING REQUIREMENT FOR THE GOVERNMENT'S RULE 404(B) MOTION IN LIMINE SHOULD BE DENIED.....	16
VI.	CONCLUSION.....	19

**TABLE OF AUTHORITIES**

**FEDERAL CASES:**

<u>Ctr. for Auto Safety v. Chrysler Grp., LLC,</u>	
809 F.3d 1092 (9th Cir. 2016) .....	17
<u>Demaree v. Pederson,</u>	
887 F.3d 870 (9th Cir. 2018) .....	17, 18
<u>Foltz v. State Farm Mut. Auto. Ins. Co.,</u>	
331 F.3d 1122 (9th Cir. 2003) .....	18
<u>Gentile v. State Bar of Nev.,</u>	
501 U.S. 1030 (1991) .....	8
<u>Levine v. U.S. Dist. Ct. for C.D. Cal.,</u>	
764 F.2d 590 (9th Cir. 1985) .....	8, 9
<u>Nancy Fiedler et al. v. United States Department of Justice et al.,</u>	
23-CV-00985-PA (C.D. Cal.) .....	4
<u>Nebraska Press Ass'n v. Stuart,</u>	
427 U.S. 539 (1976) .....	8
<u>Nixon v. Warner Commc'ns, Inc.,</u>	
435 U.S. 589 (1978) .....	17
<u>Oliner v. Kontrabecki,</u>	
745 F.3d 1024 (9th Cir. 2014) .....	17, 18
<u>Oregonian Publ'g Co. v. U.S. Dist. Ct. for Dist. of Or.,</u>	
920 F.2d 1462 (9th Cir. 1990) .....	18
<u>Press-Enter. Co. v. Super. Ct. of Cal.,</u>	
478 U.S. 1 (1986) .....	18
<u>Seattle Times Co. v. U.S. Dist. Ct. for W. Div. of Wash.,</u>	
845 F.2d 1513 (9th Cir. 1988) .....	18
<u>Times Mirror Co. v. United States,</u>	
873 F.2d 1210 (9th Cir. 1989) .....	18
<u>United States v. Doe,</u>	
870 F.3d 991 (9th Cir. 2017) .....	17, 18
<u>United States v. Sleugh,</u>	
896 F.3d 1007 (9th Cir. 2018) .....	17

**REGULATIONS:**

28 C.F.R. §§ 16.21-16.29 .....	4
--------------------------------	---

**MEMORANDUM OF POINTS AND AUTHORITIES**

**I. INTRODUCTION**

Seven days after filing one of the most frivolous, untimely motions to dismiss that the undersigned counsel have ever seen (Dkt. No. 79), the defense now files a public, ex parte application for a gag order likely to garner more publicity than the underlying and mundane news article upon which the ex parte is supposedly based. If the LA Times article were so damaging to defendant's prospects for a fair trial, why would the defense file a public motion casting a spotlight on the article?

The answer is that the defense is straining to justify their request for an overly broad, largely unenforceable gag order. If the Court were to approve the defense's proposed order, the next time someone beyond the control of the prosecution team makes a comment to the media about the *Conception* case, the defense would cry foul and demand a dismissal to avoid the October 24 trial on similarly frivolous grounds.<sup>1</sup>

The defense is proposing a gag order that, on the one hand, is overly broad, and on the other hand, would fail to address the purported source of their concern, all the while failing to meet the heavy burden for a gag order. The defense's separate request that motions *in limine* be redacted or filed under seal, in the absence of any specific concern about personally identifiable information or other traditional confidential information being exposed, is similarly unfounded and unnecessarily restrictive. Taken together,

---

<sup>1</sup> The defense has already sought dismissal of this case four times.

1 the unjustified relief the defense seeks is a solution in search of a  
2 problem. The ex parte should be denied.

## 3 **II. FACTUAL BACKGROUND**

### 4 **A. The LA Times Article**

5 The event that allegedly instigated the defense's outrage was an  
6 article published in the LA Times on September 1, 2023, that  
7 described an investigative report by the Bureau of Alcohol, Tobacco,  
8 Firearms and Explosives ("ATF") regarding the origin and cause of the  
9 fatal fire that killed 34 people on the *Conception* on September 2,  
10 2019 (the "ATF Report"). An unknown individual provided the ATF  
11 Report to the LA Times. The article also contained a two-sentence  
12 quote in its 24th paragraph from an anonymous "official" regarding  
13 the thoroughness of the ATF's investigation into the *Conception* fire.  
14 The ex parte focuses on the unknown individual's disclosure of the  
15 ATF Report to the LA Times, and the anonymous quote.

16 On the morning of September 5, 2023, in response to defense  
17 counsel's inquiry, the undersigned counsel sent a three-page letter  
18 to the defense stating, unequivocally, that the prosecution team had  
19 nothing to do with the disclosure of the ATF Report to the LA Times  
20 or the anonymous quote, and had no idea who provided the ATF Report  
21 or the quote to the LA Times. Nonetheless, and despite the absence  
22 of any supporting evidence, the defense lobbs the following  
23 accusations at the prosecution team:

- 24 • Both the "leaker" of the ATF Report and the source of the  
25 anonymous quote "likely is a member of the prosecution team"  
26 (Mtn. at 1:8-9);
- 27 • The "statements to the media [were made] by an agent of the  
28 prosecution team" (id. at 1:13); and

- An "agent" was the source of the anonymous quote (id. at 10:3-10).

To be clear, there is no evidence whatsoever supporting these accusations. Defense counsel's unfounded claims speak volumes as to their credibility here.

**B. The Government's Efforts to Protect the Criminal Discovery from Public Dissemination**

Contrary to the defense's claims, the government has gone to great lengths in this case to prevent the public dissemination of the criminal discovery, including the ATF Report. The government has been so steadfast in its refusal to disclose the criminal discovery in this pending case to third parties that the third parties have sued the government for not disclosing the discovery materials. Against this backdrop, it is ironic that the defense suggests that the government was behind the "leak" of the ATF Report.

The fire on the *Conception* killed 34 people, who were alive and in need of rescue in the ship's bunkroom when defendant (the ship's captain) woke up and jumped overboard and ordered his crewmembers to do the same. After the *Conception* and related evidence were salvaged from the seafloor and reconstructed on land, the ATF conducted an investigation as to the origin and cause of the fire. The result of the investigation was the ATF Report, completed in January 2021.

Soon thereafter, the 34 victims' families, some through their lawyers, requested that the government share the ATF Report with them, so that they could learn more about how and why their loved ones perished. Initially, the government refused, due to the ongoing criminal case. After the victims' families persistently requested the ATF Report, the government relented, but with strict limitations.

1 Aware of the sensitivity of the ATF Report, the government required  
2 each recipient of the ATF Report to sign a Non-Disclosure Agreement  
3 barring the recipient from disclosing the ATF Report to anyone,  
4 including the media.

5 In addition, in April 2021 the government contacted defense  
6 counsel in this case to see if they objected to the government  
7 providing the ATF Report to the victims' families. The government  
8 also provided defense counsel with a copy of the proposed Non-  
9 Disclosure Agreement. Defense counsel did not object to the  
10 government providing the ATF Report to the victims' families or their  
11 attorneys.

12 Because of the ongoing criminal case, the government persisted  
13 in refusing to share other materials from the criminal investigation  
14 with third parties (including the victims' families), in  
15 consideration of the Department of Justice's Touhy regulations. See  
16 28 C.F.R. §§ 16.21-16.29. That led to the lawyers for the victims'  
17 families filing a lawsuit against the government to obtain such  
18 materials. See Nancy Fiedler et al. vs. United States Department of  
19 Justice et al., Case No. 23-CV-00985-PA (C.D. Cal.). So as it  
20 stands, in Judge Anderson's courtroom the government is being sued  
21 for not disclosing the ATF Report with its underlying documents and  
22 other sensitive discovery, and in Your Honor's courtroom the  
23 government is responding to an ex parte accusing the prosecution team  
24 of "leaking" criminal discovery to the LA Times. No matter what  
25 happens, the government gets blamed.

26 Another example of the lengths to which the government has gone  
27 in order to protect the criminal discovery is a 24-second video that  
28 the FBI was able to extract from the burnt and waterlogged smartphone



1 of one of the victims of the fire. The video showed that the victims  
2 were alive when defendant jumped overboard and ordered his crew to do  
3 the same. Given the highly sensitive nature of the video, and the 34  
4 victims' families' intense and understandable interest in the video,  
5 the government agreed to let the victims' families view the video but  
6 only if they travelled to FBI offices and watched the video inside  
7 the FBI's offices, in the presence of FBI officials. To prevent a  
8 leak of the video, the government did not provide copies of the video  
9 to the 34 victims' families. To date, to the government's knowledge,  
10 no unauthorized parties have obtained copies of the video, as a  
11 result of the prosecution team's ongoing efforts to protect the  
12 criminal discovery from disclosure.

13 It is worth comparing (1) the ex parte's unfounded allegations  
14 that the prosecution team leaked the ATF Report to the LA Times and  
15 provided the anonymous quote, with (2) the prosecution team's actual  
16 interactions with the media. In a criminal investigation and  
17 prosecution that has spanned four years, the defense identifies only  
18 the following factual support:

- 19 • The United States Attorney's Office issued two press releases  
20 announcing the indictments of defendant (both press releases  
21 were limited, per the United States Attorney's Office practice,  
22 to the allegations set forth in the indictments themselves);<sup>2</sup>
- 23 • After this Court dismissed the indictment in the original case,  
24 the U.S. Attorney's Office gave a one-sentence statement to the  
25 media that "prosecutors would seek authorization from the  
26

---

27 <sup>2</sup> Both press releases contained the same admonition: "An  
28 indictment contains allegations that a defendant has committed a  
crime. Every defendant is presumed innocent until and unless proven  
guilty beyond a reasonable doubt."

1 Department of Justice to appeal [the] ruling.”

2 ([https://www.reuters.com/world/us/judge-throws-out-indictment-](https://www.reuters.com/world/us/judge-throws-out-indictment-captain-2019-california-boat-fire-that-killed-34-2022-09-02/)  
3 [captain-2019-california-boat-fire-that-killed-34-2022-09-02/](https://www.reuters.com/world/us/judge-throws-out-indictment-captain-2019-california-boat-fire-that-killed-34-2022-09-02/);

4 Mtn. at 4 n.8.)

5 As in most criminal prosecutions, here the United States Attorney’s  
6 Office, and the prosecution team as a whole, have had almost no pre-  
7 trial interactions with the press. The defense’s assertions to the  
8 contrary lack factual support.

9 The only other instance of “publicity” that the ex parte points  
10 to is a presentation that a government witness made at a limited  
11 industry training-conference in February 2023. An ATF scientist gave  
12 a presentation to the International Association of Marine  
13 Investigators that included some of the findings of the ATF Report.  
14 The defense asserts that over 100 people attended the conference (but  
15 not necessarily the ATF presentation during the conference). (Mtn.  
16 at 7:1-2.) To the government’s knowledge, the presentation did not  
17 generate any media coverage, and the ex parte does not claim  
18 otherwise.

19 The single, unpublicized presentation by an ATF scientist to a  
20 small number of colleagues in the marine-fire-investigation industry  
21 for training purposes does not come close to justifying the proposed  
22 gag order.

23 **C. The Defense’s Dissemination of the Criminal Discovery**

24 The defense’s concerns about the public dissemination of the  
25 criminal discovery is ironic given their own recent practices. The  
26 defense has publicly disclosed far more of the criminal discovery -  
27 including details regarding the ATF report - than the government.

1 For example, the ex parte fails to inform the Court that defense  
2 counsel themselves publicly disseminated previously unknown details  
3 regarding the ATF Report just last week. Two days before the LA  
4 Times story about the ATF Report, the defense wrote the following in  
5 their latest motion to dismiss:

6 The ATF conducted an investigation into the potential cause  
7 and origin of the fire, conducting intermediate scale  
8 testing in March and April of 2020, and full-scale testing  
9 in October and November of 2020. The ATF issued a report on  
January 4, 2021, which hypothesized that the fire  
originated in a garbage can on the main deck. ATF could not  
determine the cause of the fire.

10 (Dkt. No. 79 at 8-13.)

11 To the government's knowledge, none of the foregoing was known  
12 to the general public prior to the defense's "leak" of this  
13 information.

14 In the same publicly filed motion, the defense attached 632  
15 pages of witness statements from the criminal discovery, the bulk of  
16 which were from witnesses who had somewhat positive things to say  
17 about defendant. Prior to the defense's publication, none of those  
18 witness statements ever had been disclosed to the public. Given that  
19 the defense's motion relied on only a tiny fraction of those witness  
20 statements, the government is aware of no legitimate reason why the  
21 defense publicly filed the defendant-friendly statements in their  
22 entirety.

23 In sum, the prosecution team here has gone to extraordinary  
24 lengths to protect all of the criminal discovery - and specifically  
25 the ATF Report - from being disclosed to the public. And the  
26 government has been far more restrained than the defense. Against  
27 this backdrop, the ex parte is seeking the wrong remedy against the  
28 wrong party.

### 1    **III. LEGAL FRAMEWORK**

2        "Pretrial publicity even pervasive, adverse publicity does not  
3 inevitably lead to an unfair trial." Nebraska Press Ass'n v. Stuart,  
4 427 U.S. 539, 554 (1976). Nonetheless, courts may impose limitations  
5 on the public statements of "trial participants," including "a lawyer  
6 actively participating in a trial." Gentile v. State Bar of Nev.,  
7 501 U.S. 1030, 1072, 1073 (1991); Levine v. U.S. Dist. Ct. for C.D.  
8 Cal., 764 F.2d 590, 595 (9<sup>th</sup> Cir. 1985). Such "limitations are aimed  
9 at two principal evils: (1) comments that are likely to influence the  
10 actual outcome of the trial, and (2) comments that are likely to  
11 prejudice the jury venire." Gentile, 501 U.S. at 1075. Those  
12 concerns arise from the "fundamental right to a fair criminal jury  
13 trial," Levine, 764 F.2d at 591, and reflect the fact that "[f]ew, if  
14 any, interests under the Constitution are more fundamental than the  
15 right to a fair trial by 'impartial' jurors," Gentile, 501 U.S. at  
16 1075.

17        A court's limitations must be "narrow and necessary." Id. at  
18 1075. A gag order is permissible if and only if: "(1) the activity  
19 restrained poses either a clear and present danger or a serious and  
20 imminent threat to a protected competing interest, (2) the order is  
21 narrowly drawn, and (3) less restrictive alternatives are not  
22 available." Levine, 764 F.2d at 595 (internal citations omitted).

### 23    **IV. THE PROPOSED GAG ORDER SHOULD BE DENIED**

24        The defense fails to meet its burden for a gag order. The  
25 defense cannot satisfy a single prong of the three part-test set  
26 forth above (much less all three): (1) none of the pretrial publicity  
27 poses a "clear and present danger or a serious and imminent threat to  
28 a protected competing interest"; (2) defendant's proposed gag order

1 is not "narrowly drawn"; and (3) "less restrictive alternatives" are  
2 available. Levine, 764 F.2d at 595.

3 **A. The Defense Has Failed To Establish Any "Clear and Present**  
4 **Danger" or "Serious and Imminent Threat"**

5 The ex parte fails to show any "clear and present danger or a  
6 serious and imminent threat to a protected competing interest."  
7 Defendant's right to a fair trial has not been jeopardized by the  
8 straightforward LA Times article about the ATF's investigation into  
9 the *Conception* fire. Nor does the two-sentence quote by an anonymous  
10 "official" complementing the ATF Report pose a "serious and imminent  
11 threat" to defendant.

12 The disclosure of the ATF Report to the LA Times was not a  
13 significant event warranting the Court's intervention. As set forth  
14 above, the defense, in a public filing on August 31, 2023 (i.e., two  
15 days before the LA Times article), voluntarily disclosed to the  
16 public: (1) the existence of the ATF Report; (2) that the ATF  
17 conducted "intermediate scale testing in March and April of 2020, and  
18 full-scale testing in October and November of 2020"; (3) the ATF  
19 Report was issued in January 2021; and (4) the ATF Report concluded  
20 that "the fire originated in a garbage can on the main deck. ATF  
21 could not determine the cause of the fire." (Dkt. No. 79 at 2:9-12.)

22 Although the LA Times article on the ATF Report did provide a  
23 few more details than the defense provided in its filing last week,  
24 the details amount to a few paragraphs containing mundane facts about  
25 fire testing. That is a far cry from a "clear and present danger or  
26 serious and imminent threat" to a fair trial.

27 The LA Times article did not even disclose information  
28 particularly adverse to defendant. The article disclosed (just as

1 the defense had disclosed in a public filing before the LA Times  
2 article) that the ATF determined that the fire likely started in a  
3 trash can. The National transportation Safety Board ("NTSB") already  
4 had announced, publicly, the conclusions of its own investigation,  
5 which did not point to the fire likely originating inside the trash  
6 can. The defense has failed to show that defendant's right to a fair  
7 trial has been jeopardized one iota by the negligible factual  
8 revelations in the LA Times article.

9 The defense's real complaint seems to be the two-sentence quote  
10 buried in the 24<sup>th</sup> paragraph of the 37-paragraph LA Times article by  
11 an anonymous "official" complementing the thoroughness of the ATF's  
12 investigation. Ironically, the defense's ex parte application is  
13 more likely to generate publicity on this quote than the article did  
14 itself. It is difficult to believe that this anonymous quote - which  
15 doesn't even mention or allude to defendant - would sway a single  
16 potential juror at the October 24<sup>th</sup> trial.

17 The defense also presumes with zero factual support that this  
18 quote was provided by "an agent of the prosecution team." (Mtn. at  
19 1:13.) But the quote itself - in particular, the "official's"  
20 statement that "[t]hey did everything possible to re-create the fire"  
21 - necessarily imports detachment between the purported official and  
22 ATF, which conducted the fire reenactment analysis. In any event,  
23 the quote, and the broader article in which it appears, was and is  
24 incidental to this proceeding, notwithstanding the defense  
25 temporarily drawing it into focus through its motion.

26 If the defense wants to make sure no potential juror was  
27 influenced by the LA Times article, it will have every opportunity to  
28 do so during voir dire. If the defense wants to challenge the

1 results of the ATF Report, or the ATF's underlying investigation, it  
2 will have every opportunity to do so at trial. If the defense wants  
3 to file a motion to change venue, it has every opportunity to do so  
4 (tellingly, it has not), although such a remedy similarly would be  
5 unwarranted and unnecessary in this case. But the defense's ex parte  
6 application asks for a far more drastic remedy than the relatively  
7 insignificant "leak" deserves.

8 **B. Defendant's Proposed Gag Order Is Overly Broad**

9 The wording in the proposed gag order is as problematic as the  
10 ex parte itself. Here it is:

11 IT IS HEREBY ORDERED that the parties – including federal,  
12 state, and local law enforcement officers and other  
13 government officials participating in the investigation and  
14 prosecution of the criminal case against the defendant, and  
15 the prospective witnesses in this case – are restrained  
16 from providing information or opinions to the press or the  
17 public about this case until the conclusion of trial.

18 (Mtn., Proposed Order at 1.)

19 One can read this over and over again and still have no idea  
20 what it means. To whom does it apply? On its face, the order would  
21 apply to the United States of America, the plaintiff. Apparently the  
22 order would apply to more than 2.25 million civilian employees and  
23 another 2.25 million military personnel employed by the federal  
24 government. (See [https://www.cbo.gov/topics/employment-and-labor-](https://www.cbo.gov/topics/employment-and-labor-markets/federal-personnel)  
25 [markets/federal-personnel](https://www.cbo.gov/topics/employment-and-labor-markets/federal-personnel).)

26 The order contains the "including" language that could suggest –  
27 but does not state – that the order is limited to a subset of the  
28 United States, such as the prosecution team. Of course, that is not  
what the order states. Even if, contrary to its plain text, the  
order were limited to "government officials participating in the  
investigation," that phrase is unbound.

1       Take, for example, the NTSB. The NTSB conducted a parallel,  
2 independent, and largely public investigation into the *Conception*  
3 fire. The NTSB investigation is, and always has been, completely  
4 separate from this criminal investigation. The defense appears to  
5 concede that the NTSB is not part of the prosecution team in this  
6 case (indeed it is not), acknowledging that "[t]here was an  
7 independent NTSB investigation, report, and public hearing"  
8 consistent with the NTSB's normal practices. (Mtn. at 3:8.) The  
9 prosecution team here has absolutely no control over NTSB officials.  
10 Does the proposed order apply to the NTSB? It is impossible to tell.  
11 If it does, as the defense is well aware, the prosecution team has no  
12 ability to police the conduct of the NTSB or its myriad "officials."  
13 If - hypothetically - an NTSB official says something to the media  
14 about the *Conception*, is it the prosecution team's fault? If, on the  
15 other hand, the proposed order does not apply to NTSB officials, and  
16 there is a "leak" attributed to an anonymous government "official" in  
17 the future, how is the Court supposed to determine if the "official"  
18 was from the NTSB and not some other agency? The defense recognizes  
19 that the NTSB's public report and findings "received and continues to  
20 receive media coverage." (Mtn. at 3:9.) Prospectively holding the  
21 prosecution team accountable for statements potentially attributable  
22 to federal governmental individuals or entities outside of the  
23 prosecution team would unfairly penalize the prosecution team for  
24 matters outside of its control.

25       Next the proposed order covers "state and local law enforcement  
26 officers" involved in the "investigation." Here, too, there are many  
27 entities that were involved in the investigation of the *Conception*  
28 that are not part of the prosecution team. The proposed order would



1 appear to gag, for example, entities that supplied first responders  
2 to the *Conception* fire, such as the Santa Barbara and Ventura County  
3 Fire Departments, or even contractors (such as independent ambulance  
4 companies) retained by those agencies to assist in the emergency  
5 response, even where those agencies are not part of the prosecution  
6 team here.

7       Next, the proposed order applies to "prospective witnesses in  
8 this case." Who does this cover? The 34 victims' families? They  
9 are all prospective witnesses. Is the defense suggesting that the  
10 victims' families can't speak to the press about the case? Such a  
11 prior restraint would be a blatant violation of the First Amendment.

12       Even if the foregoing problems could be resolved (they cannot),  
13 the proposed order next prohibits "providing information or opinions"  
14 to "the public." What does that mean? The government is at a loss.  
15 If a widow or child or parent of a victim tells a neighbor that he or  
16 she thinks defendant is guilty, would there be a violation? If not,  
17 what if the neighbor told a friend, who told a friend, who told a  
18 reporter? Such a quagmire sounds more like a bad free-speech  
19 hypothetical in a law school exam than an issue properly addressed by  
20 this Court.

21       Despite being overbroad and riddled with ambiguities, the  
22 proposed order would do nothing to prevent the alleged harm caused by  
23 the disclosure of the ATF Report to the LA Times. Again, there is no  
24 evidence suggesting that the prosecution team - or anyone in the  
25 government - had anything to do with the disclosure of the ATF Report  
26 to the LA Times. As discussed above, numerous non-governmental third  
27 parties possess the ATF Report (albeit under strict non-disclosure  
28 agreements) and could have impermissibly "leaked" it to the LA Times;

1 the proposed gag order would do nothing to stop a future disclosure  
2 by one of those third parties.

3 **C. Less Restrictive Means Exist to Safeguard a Fair Trial**

4 Third, far less restrictive alternatives to the defense's gag  
5 order are available. First, as indicated above, defense counsel is  
6 free to explore any potential bias during the jury selection process.  
7 Second, while the government does not believe it is necessary, the  
8 government nonetheless has informed the defense that it would not  
9 object, assuming the Court agrees, to an expanded jury venire in this  
10 case, to the extent the defense has concerns about finding a suitable  
11 number of jurors who will be fair and impartial. Third, during  
12 trial, the government anticipates the Court will instruct the seated  
13 jurors each day not to conduct any independent research, including in  
14 the media, about the case. See, e.g., Ninth Circuit Manual of Model  
15 Criminal Jury Instructions, No. 2.1.

16 Finally, the prosecution team already voluntarily "gags" itself  
17 regarding defendant's trial. As noted above, the U.S. Attorney's  
18 Office has - pursuant to its standard practice - limited its  
19 publicity of this case to (1) two straightforward press releases in  
20 three years, each of which announced defendant's indictment and did  
21 not disclose anything beyond what was set forth in the indictments  
22 themselves, with appropriate admonitions about the presumption of  
23 innocence, and (2) a boilerplate comment that it was considering  
24 appeal of this Court's September 2022 dismissal order. To the  
25 government's knowledge, the FBI, ATF, and Coast Guard Investigative  
26 Service have not made any substantive comments to the media about  
27 this case. The proposed gag order purports to fix a nonexistent  
28 problem.

**D. The Defense's Request Puts the Prosecution Team in an Untenable Position**

As noted above, the government suspects that the defense is not all that concerned about the "leak" of the ATF Report or the anodyne anonymous quote recognizing the effort that went into the ATF Report. Instead, if the court grants the ex parte application, the next time an anonymous quote is made by some "official" in an article about the *Conception*, the government expects that the defense will file yet another motion to dismiss alleging government misconduct. The government predicts the defense will do so (1) regardless of whether the prosecution team had anything to do with such a quote, and (2) regardless of the harmlessness of such a quote.

As the defense is well aware:

- There is no way for the prosecution team to enforce a restraining order against 4.5 million federal employees, particularly where millions of those employees work for agencies other than the ATF, FBI, Coast Guard Investigative Service, and the DOJ;
- There will be no way for the Court (or the parties) to know whether an "official" quoted in a future news story about the *Conception* is from an agency working with the prosecution team, or an entirely separate agency, such as the NTSB;
- There is no way for the prosecution team to "police" the free speech of prospective witnesses; and
- There will be no way for the Court (or the parties) to know whether an anonymous "family member of a victim" quoted in a future news story about the *Conception* is one of the government's trial witnesses.

1 Yet when the next article is published about this case (perhaps,  
 2 ironically, about the defense's ex parte), almost any anonymous quote  
 3 provided will be fodder for the defense's next motion to dismiss or  
 4 yet another ex parte seeking unwarranted emergency relief. That is  
 5 not how criminal trials, or the First Amendment, should work.

6 As described above, the prosecution team has gone to great  
 7 lengths to prevent the dissemination of the criminal discovery in  
 8 this case, and has made no anonymous quotes to the media. The  
 9 proposed gag order would seek to hold the prosecution team to an  
 10 impossible - and unjustified - bar.

11 **V. THE PROPOSED SEALING REQUIREMENT FOR THE GOVERNMENT'S RULE**  
 12 **404(B) MOTION IN LIMINE SHOULD BE DENIED**

13 The second request in the ex parte also lacks merit. Again  
 14 exaggerating the media's focus on this case, the defense claims that  
 15 the government's forthcoming motion in limine regarding Rule 404(b)  
 16 evidence should be preemptively sealed.<sup>3</sup> (Mtn. at 10-11.)

17 As the government's Rule 404(b) notices to the defense make  
 18 clear, there is nothing salacious or uncommon about the contents of  
 19 the government's forthcoming motion in limine. In the experience of  
 20 the undersigned counsel, sealed Rule 404(b) motions are unheard of  
 21 (or extremely rare), even in high-profile cases. (The ex parte does  
 22 not cite a single case preemptively sealing a Rule 404(b) motion.)  
 23 The public, and particularly the 34 victims' families, who have an  
 24

---

25 <sup>3</sup> The government's Rule 404(b) notice letters to the defense  
 26 make clear (and its forthcoming motion in limine will do the same)  
 27 the government's position that the vast majority of the evidence  
 28 described in the notices is admissible at trial as direct or  
 inextricably intertwined evidence. The government provided the  
 notices to the defense describing this non-404(b) evidence, along  
 with limited categories of potential 404(b) evidence, in an abundance  
 of caution.

1 intense and compelling interest in the upcoming trial, has a right to  
2 know the contents of pretrial litigation. For example, the defense  
3 has made numerous in camera filings to the Court over the past few  
4 months, to the immense frustration of the victims' families, who  
5 frequently have asked the government about the content of the  
6 defense's in camera filings.

7 "[T]he courts of this country recognize a general right to  
8 inspect and copy public records and documents, including judicial  
9 records and documents." Nixon v. Warner Commc'ns, Inc., 435 U.S.  
10 589, 597 (1978). "Throughout our history, the open courtroom has  
11 been a fundamental feature of the American judicial system." Oliner  
12 v. Kontrabecki, 745 F.3d 1024, 1025 (9th Cir. 2014). "Shrouding the  
13 mechanics of a criminal case in secrecy places the public's interest  
14 in a transparent judicial system at risk." United States v. Sleugh,  
15 896 F.3d 1007, 1012 (9th Cir. 2018).

16 These sacrosanct principles arise from both the common law and  
17 the First Amendment, and they give rise to "a strong presumption in  
18 favor of access to court records." Sleugh, 896 F.3d at 1013; Demaree  
19 v. Pederson, 887 F.3d 870, 884 (9th Cir. 2018); United States v. Doe,  
20 870 F.3d 991, 996-97 (9th Cir. 2017). "A party seeking to seal a  
21 judicial record can overcome this presumption only by showing a  
22 'compelling reason.'" Sleugh, 896 F.3d at 1013 (quoting Ctr. for  
23 Auto Safety v. Chrysler Grp., LLC, 809 F.3d 1092, 1096 (9th Cir.  
24 2016)); Foltz v. State Farm Mut. Auto. Ins. Co., 331 F.3d 1122, 1135  
25 (9th Cir. 2003).

26 Where, as here, the public has a First Amendment right of  
27 access, "criminal proceedings and documents may be closed to the  
28 public without violating the [F]irst [A]mendment only if three

1 substantive requirements are satisfied: (1) closure serves a  
2 compelling interest; (2) there is a substantial probability that, in  
3 the absence of closure, this compelling interest would be harmed; and  
4 (3) there are no alternatives to closure that would adequately  
5 protect the compelling interest." United States v. Doe, 870 F.3d  
6 991, 998 (9th Cir. 2017) (citing Oregonian Publ'g Co. v. U.S. Dist.  
7 Ct. for Dist. of Or., 920 F.2d 1462, 1466 (9th Cir. 1990); see also  
8 Times Mirror Co. v. United States, 873 F.2d 1210, 1211 n.1 (9th Cir.  
9 1989). "The court must not base its decision on conclusory  
10 assertions alone, but must make specific factual findings." Doe, 870  
11 F.3d at 998 (citing Oregonian, 920 F.2d at 1466).

12 Speculative conjecture and conclusory rationales "fall[]  
13 woefully short" of satisfying the compelling-reasons standard.  
14 Oliner, 745 F.3d at 1026-27; Seattle Times Co. v. U.S. Dist. Ct. for  
15 W. Div. of Wash., 845 F.2d 1513, 1519 (9th Cir. 1988). Rather, a  
16 sealing proponent must point to "specific, on the record findings"  
17 demonstrating that "closure is essential to preserve higher values  
18 and is narrowly tailored to serve that interest." Press-Enter. Co.  
19 v. Super. Ct. of Cal., 478 U.S. 1, 13-14 (1986); Demaree, 887 F.3d at  
20 884 (compelling reasons must be "supported by specific factual  
21 findings"). To seal even a portion of a court record, a party "bears  
22 the heavy burden of showing . . . that disclosure will work a clearly  
23 defined and serious injury." Oliner, 745 F.3d at 1026.

24 The defense does not even come close to satisfying the three-  
25 part compelling-reasons test set forth above. This request, too, is  
26 a slippery slope. Is the defense next going to ask for the hearing  
27 on pretrial motions to be closed to the public (including victims'  
28 families)? The defense's request should be denied.

1 **VI. CONCLUSION**

2 For the foregoing reasons, the government requests that the  
3 Court deny the ex parte in its entirety. If, despite the foregoing,  
4 the Court is inclined to grant the application, the government would  
5 respectfully request a hearing.

6 Dated: September 8, 2023

Respectfully submitted,

7 E. MARTIN ESTRADA  
8 United States Attorney

9 MACK E. JENKINS  
10 Assistant United States Attorney  
11 Chief, Criminal Division

/s/

11 MARK A. WILLIAMS  
12 MATTHEW W. O'BRIEN  
13 BRIAN R. FAERSTEIN  
14 JUAN M. RODRIGUEZ  
15 Assistant United States Attorneys

16 Attorneys for Plaintiff  
17 UNITED STATES OF AMERICA  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28